



GPRA / PART

Indian Affairs National Budget Meeting

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March 20, 2007

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Indian Affairs Reporting Requirements



Reporting Requirements	Statutes / OMB Circulars / Guidance
DOI Strategic Plan	Government Performance and Results Act (GPRA) 1993 OMB Circular A-11, Part 6, Section 200, June 2006
PART	
Operational Plan	
President's Management Agenda	Executive Office of the President, Office of Management and Budget Presidential Management Agenda, 2002
Performance and Accountability Report (PAR)	OMB Circular A-11, Part 6, Section 230, June 2006
	OMB Circular A-136, July 24, 2006
	DOI Guidance and Specifications for Part 2 (Performance and Data Analysis of the Annual PAR) February 2006
	Statement of Federal Financial Accounting Standards, April 1999
Performance Budget	OMB Circular A-11, Part 1, Section 26, June 2006

GPRA Definition



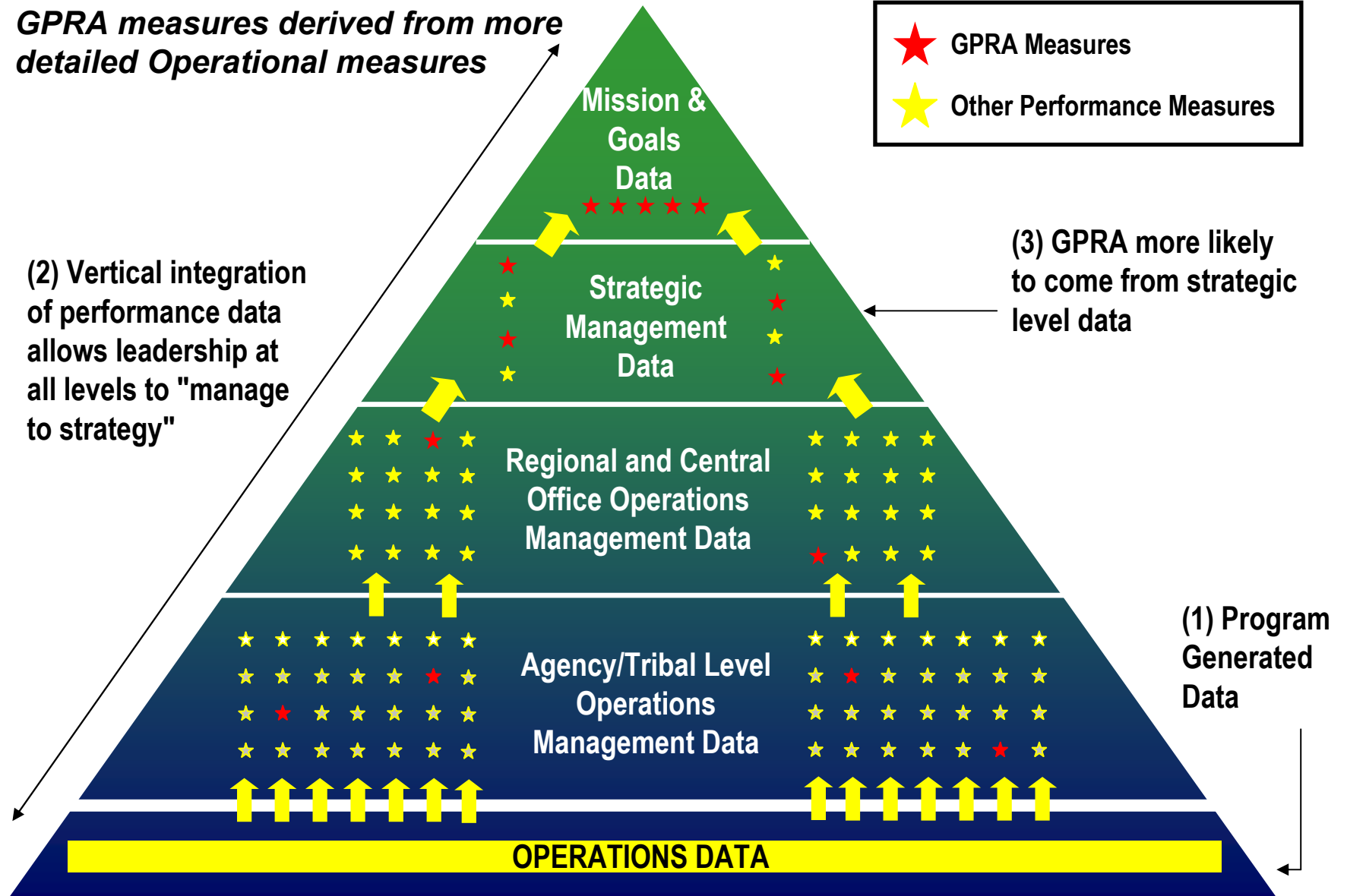
- An act to provide for the establishment of strategic planning and performance measurement in the Federal Government

Measures Must Roll Up Through Organizational Levels and Reflect The Governance Structure



GPRA measures derived from more detailed Operational measures

(2) Vertical integration of performance data allows leadership at all levels to "manage to strategy"



Performance Measure Development & Management



- Developed **performance measures**
 - Reformulation & creation of measures link to the Bureau and Department's goals
 - Executive sponsors signed 86 measures (Strategic (22), PART (51) and Operational (13)) into practice for FY07, approved by Bureau and Department senior management
 - Measures are centralized and auditable
 - Measures are tied SES Performance Plans
 - Developed **Definition Templates** for active Performance Measures
- Revised **GPRA Collection Forms**

Performance Measure Development & Management (cont.)



- Provided **Program Guidelines** for Performance Measure Development
 - Validate measures for appropriateness and verify for accuracy
 - Express measure as a percent – not a number; for comparison purposes
 - Include at least one efficiency measure per program
 - Include at least one outcome measure per program
 - Made measures more transparent – understandable to lay persons
 - Ensure clear numerator and denominator
 - Numerator: Measured during a defined time period
 - Denominator: What can be controlled or influenced by the Program

IA Measure Definition Templates - Blueprint for IA Data Collection



1	Measure Display Code		
2	Mission Area	Serving Communities	
3	End Outcome Goal	Fulfill Indian Fiduciary Trust Responsibilities	
4	Intermediate Outcome Goal	There is no intermediate outcome goal associate with this measure in the FY2007-FY2012 DOI Strategic Plan	
5	Full Measure Name	Percent of revenue generating irrigation projects for which comprehensive condition assessments have been completed annually.	
6	Short Measure Name	Comprehensive condition assessments	
7	ABCM ID#		
8	Beginning Year	2006	
9	End Year		
10	Measure Scope	This measure will include all revenue-generating irrigation projects that are owned by BIA. Engineering Consulting Contractors will be hired to do condition assessments on these projects. The comprehensive condition assessments will provide a more accurate picture of the deferred maintenance backlog on the irrigation projects. This measure will include all revenue-generating irrigation projects that are owned by BIA.	
11	Measurement Process	Number of revenue generating irrigation projects for which comprehensive condition assessments have been completed during the reporting year, divided by the number of active revenue generating projects in existence during the reporting year.	
12	Data Validation and Verification	Central Office IPSOD staff are responsible for validation and verification. Bureau certifies that it has appropriate data verification processes per Department data validation and verification policy in place for this measure for providing results data as defined in this template.	
13	Data Source	John Anevski, BIA Central Office, Division Chief and IPSOD Staff	
14	Measure Type	Non-Key	
15	Data Type	Ratio	
16	Display Type	Percentage	
17	Display Precision	0	
18	Reporting Frequency	Annually	
19	Reporting Data:	Cumulative	
20	Exceeding Target Defined		
21	Submitted By	Date	Comments
	John Anevski	10/25/2006	
22	Finalized Date		
23	Last Updated Date	1/30/2007	

Measure specific v&v process

Data Source

Data point definitions to be measured and calculated

24	Data Point A (Numerator when applicable)					
	Short Name	Condition Assessments completed.				
	Definition	Number of revenue generating irrigation projects for which comprehensive condition assessments have been completed during the reporting year				
	Unit of Measure	Volume				
	Data Point B (Denominator when applicable)					
	Short Name	Number of Irrigation Projects				
	Definition	The number of active revenue generating projects in existence during the reporting year.				
	Unit of Measure	Volume				
	Data Point C					
	Short Name					
	Definition					
	Unit of Measure					
	Data Point D					
	Short Name					
	Definition					
	Unit of Measure					
25	Definition of Key Terms					
	comprehensive condition assessment	An assessment to determine and prioritize deferred maintenance backlog issues.				
26	Bureaus Reporting	1	2	3	4	5
	BIA					
27	Bureau Contact Name					
	Bureau Contact Email					
28	Data Contact Name	John Anevski				
	Data Contact Email	John Anevski/DC/BIA/DOI@BIA				
29	Responsible Manager Name	Arch Wells				
	Responsible Manager Email	Arch Wells/DC/BIA/DOI@BIA				
30	Approval (signature)					
	Date					

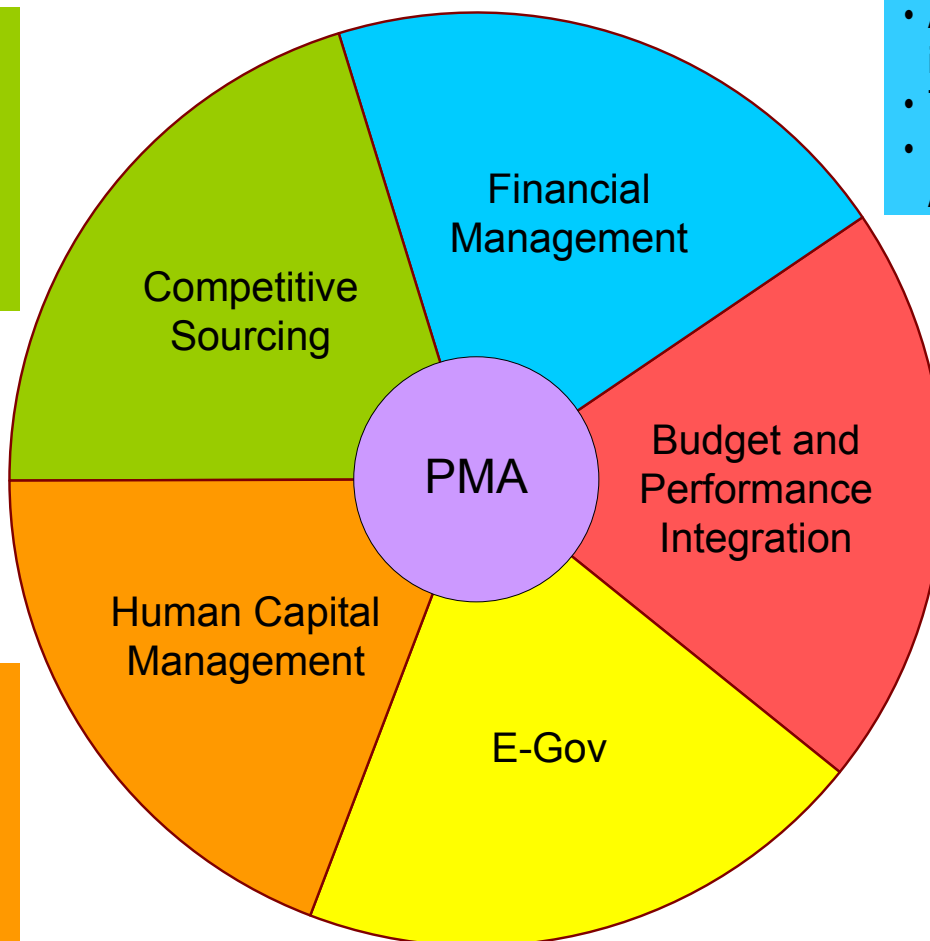
Signature approval required

Indian Affairs Programs Must Demonstrate Compliance with all Components of President's Management Agenda



- Completed sufficient number of competitions to demonstrate effective use of A-76
- Demonstrated efficiencies

- Comprehensive human capital plan
- Performance management standards linked to Agency goals
- Succession planning

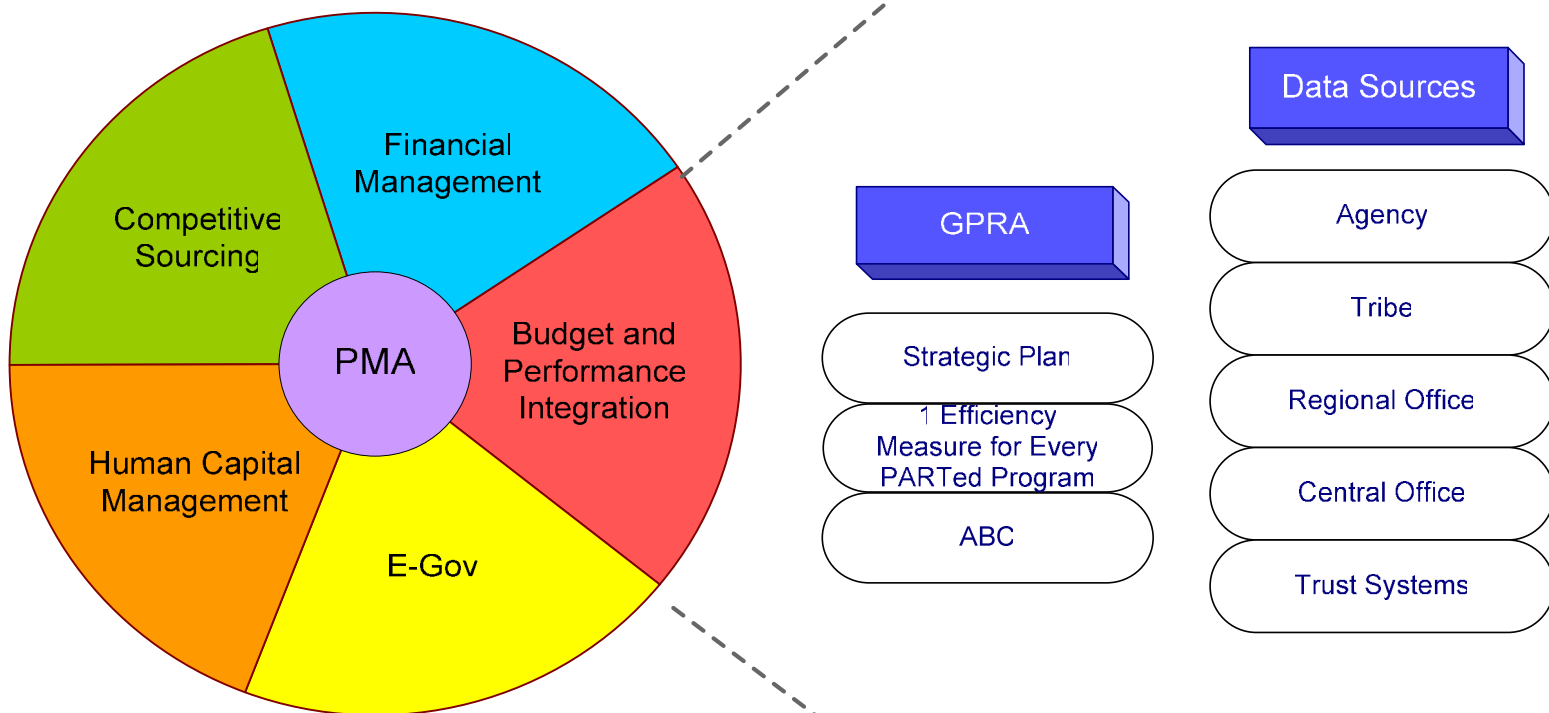


- Accurate, timely financial information used by management
- Timely obligation of funds
- Financial system integrated with ABC system

- Efficiency measures
- Outcome measures
- More next slide

- IT System efficiency measures support mission-critical goals
- Enterprise architecture linked to Federal Enterprise Architecture
- IT security
- IG-certified systems
- Earned value management

Successful Budget-Performance Integration Requires Responsive Performance Reporting from Reliable Sources



PART

What is it?



- An OMB survey tool that assesses a program purpose strengths and weaknesses to determine how well the program is planned, managed, and results achieved to improve program management

PART

4 Sections and 25 Questions



I. PROGRAM PURPOSE AND DESIGN

- Clear program purpose?
- Address specific problem?
- Not duplicative of other programs?
- Free of design flaws limiting efficiency/effectiveness?
- Designed to reach intended beneficiaries?

II. STRATEGIC PLANNING

- Limited # of long term outcome measures?
- Ambitious targets and time frames for long term measures?
- Limited # of annual performance measures tied to long term?
- Baselines and ambitious targets for annual measures?
- Committed partners that work toward goals?
- Independent evaluations of programs impact?
- Budget requests tied to goals?
- Steps taken to correct strategic planning deficiencies?

III. PROGRAM MANAGEMENT

- Collect and use timely and credible performance information?
- Partners accountable for cost, schedule, performance?
- Funds obligated timely and spent for intended purposes?
- Procedures to measure and achieve efficiencies?
- Coordinate effectively with related programs?
- Strong financial management practices?
- Steps taken to address management deficiencies?

IV. PROGRAM RESULTS/ACCOUNTABILITY

- Progress in achieving long term performance goals?
- Achieved annual performance goals?
- Improved efficiencies or cost effectiveness?
- Favorable comparison to other similar programs?
- Evaluations indicate program effectiveness?

PART Linkage to PMA



PART Section	PMA Initiative	PART Question	Results Not Demo'd Impact Questions	PART Questions
Purpose/Design	Budget & Performance Integration	Q. 1.5		How well resources are used to reach beneficiaries
Strategic Planning	Budget & Performance Integration	Q. 2.1	X	Long-term measures focus on outcomes & reflect program
		Q. 2.2	X	Ambitious targets and timeframes for its long-term measures
		Q. 2.3	X	Annual measures demonstrate progress toward long-term
		Q. 2.4	X	Baselines and ambitious targets for annual measures
		Q. 2.6		Independent evaluations of sufficient scope
		Q. 2.7		Budget requests tied to accomplishment of the performance
		Q. 2.8		Steps to correct its strategic planning deficiencies
Program Management	Budget & Performance Integration	Q. 3.1		Timely and credible performance information collection
		Q. 3.2		Accountability for cost, schedule and performance results
	Expanding E-gov	Q. 3.4	X	Using IT to improve efficiency and effectiveness
	Financial Management Improvement	Q. 3.3		Timely and appropriate obligation of funds
		Q. 3.6		Strong financial management practices
	Competitive Sourcing	Q. 3.4	X	Use competitive sourcing to improve efficiency & effectiveness
Program Results - Accountability	Budget & Performance Integration	Q. 4.1	X	Adequate progress in achieving its long-term performance
		Q. 4.2	X	Achievement of annual performance goals
		Q. 4.3	X	Demonstrate improved efficiencies or cost effectiveness
		Q. 4.5		Independent evaluation indicate program achieving results

PART

Five possible ratings for programs being PARTed



Effective	85-100
Moderately Effective	70-84
Adequate	50-69
Ineffective	0-49
Results Not Demonstrated	Regardless of overall score, a rating of Results Not Demonstrated is given when programs do not have agreed-upon long and short term performance measures or lack baselines and performance data

How are BIA's Numerical and Descriptive Scores?



Program Reviewed	PART Year	RePART Year	Purpose & Design (20%)	Strategic Planning (10%)	Program Mgmt. (20%)	Program Results (50%)	Final Determination From OMB
School Construction	2002	2004	80	56	80	28	Results Not Demonstrated
School Operations	2002		100	86	71	20	Adequate
Indian Land Consolidation	2002		75	50	70	75	Moderately Effective
Tribal Courts	2003		40	25	0	0	Results Not Demonstrated
Indian Law Enforcement	2003		60	43	65	0	Results Not Demonstrated
Indian Forestry	2003		100	88	100	33	Adequate

How are BIA's Numerical and Descriptive Scores? (cont.)



Program Reviewed	Part Year	RePART Year	Purpose & Design (20%)	Strategic Planning (10%)	Program Mgmt. (20%)	Program Results (50%)	Final Determination From OMB
BIA Housing Improvement Program	2005		40	12	72	27	Results Not Demonstrated
BIA Operation & Maintenance of Irrigation Project	2005		80	12	57	16	Results Not Demonstrated
BIA Dam Safety and Dam Maintenance	2005		80	78	75	4	Moderately Effective
Economic Development Loan Guarantee	2005		100	62	89	33	Adequate
Human Services	2006		100	88	86	25	Adequate
Natural Resources	2006		100	88	100	26	Adequate
Real Estate Services	2006		80	38	100	20	Results Not Demonstrated

How are BIA's Numerical and Descriptive Scores? (cont.)



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Economic Development Loan Guarantee	2005		100	62	89	33	Adequate
Human Services	2006		100	88	86	25	Adequate
Natural Resources	2006		100	88	100	26	Adequate
Real Estate Services	2006		80	38	100	20	Results Not Demonstrated

OMB PART Schedule



- OMB's Revised guidance on PART available 29-Jan
- Introductory PART Training Feb 13 and 15
- Refresher PART Training 14-Feb
- PARTWeb Training 21-Feb
- Agencies give OMB complete PART draft 30-Mar
- OMB PARTs ready for consistency check 27-Apr
- Consistency check and review of performance measures April 30 - May 4
- OMB feedback on consistency check and performance measures 9-May
- OMB revise PARTs, for consistency issues 18-May
- OMB passes back PARTs to Agencies 18-May
- Agencies submit PART Appeals 25-May
- OMB and Agencies work out issues May 28 - June 1

OMB PART Schedule (cont.)



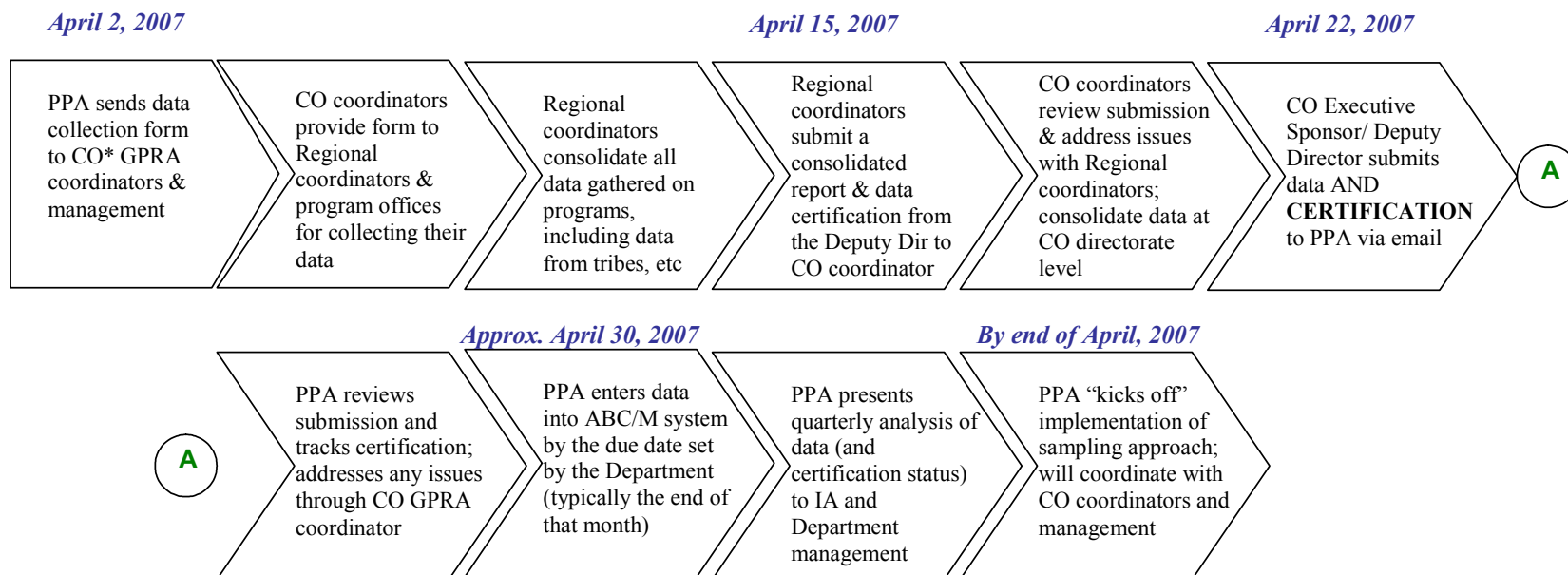
- OMB and Agencies work out issues May 28 - June 1
- Agency Spring Update of PARTs completed in previous years June 1 – June 29
- Appeals board meets 11-Jun
- Appeals board decisions 12-Jun
- PARTs updated—with board decisions 9-Jul
- OMB complete draft summaries, including improvement plans on new PARTs 9-Jul
- PARTWeb data entry locked 3-Aug
- Publish 2007 PARTs on ExpectMore.gov 15-Aug



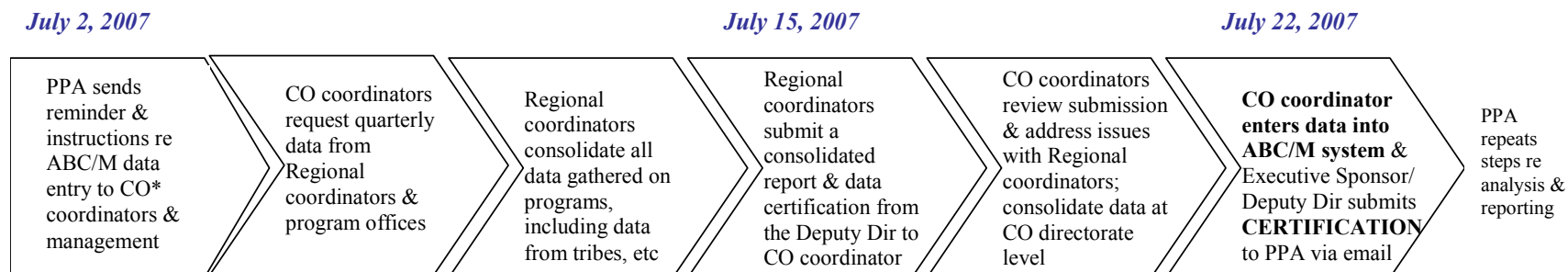
High-Level GPRA Quarterly Reporting Process Flow

(For a detailed process description, see PPA's *Standard Operating Procedures (SOP) for Government Performance & Results Act (GPRA)*)

For FY07 Q2



Beginning FY07 Q3 & Forward (Note: Process Changes in Q3)



March / April - Next Steps



- Measure Reporting
 - GPRA Quarter 2 Report (April)
 - Send out data collection form to GPRA Coordinators
 - Review submissions, assess certifications, enter data into ABC/M
 - Conduct ongoing GPRA reporting requirement meetings with Program Offices
 - Conduct ABC/M training for GPRA Quarter 3 Reporting by Program Offices
- PART
 - Enter PARTed Programs Action Plan Updates into Management Information Team System (MITS) by March 30, 2007
 - Conduct mock PART Reviews for School Construction and Law Enforcement
 - Work with OMB on PART measures identified as candidates for deletion
 - Work with all PARTed programs to assess action plan items and evidence